Stock Market Words/Phrases

**Stock Market Words**

Delivery

Delivery Month

Delta

Demand

Discretionary Account

Distribution

Diversification

Dividend

Dividend Reinvestment Plan

Dividend Yield

Dividend/Distribution

Payable Date

Dividend/Distribution

Record Date

Dollar Cost Averaging

Dow Jones Industrial Average (DJIA)

Downtick

Energy or Royalty Trust

in the oil and gas sector

Equities

Equity Financing

Equity Option

Equity Price

Equity Value Equity Volume Escrowed Securities

European-Style Option

Ex Dividend

Ex Right

Exchange Offering Prospectus (EOP)

Exchangeable Security

Exchange-Traded Fund (ETF)

Ex-D Date

Exempt Issuer

Exercise Expiration

Date

Extra Dividend / Distribution

Face Value

Filing Statement

Fill or Kill (FOK) Order

Float Quoted Market Value **(QMV)**

Floating Rate Security

Flow-Through Shares Financing Freeze

Frequency

Front Month Futures

GICS

Good Delivery

Good-Till-Cancelled (GTC) Order

Good-Till-Date (GTD) Order

Growth Stock

Guaranteed Investment Certificate (GIC)

Halted Issue

Hedge

HSDF

If, As & When Issued Trading

Improving the Market

Income Deposit Security (IDS)

Income Participating Security (IPS)

Income Stock

Income Trust Index

Index Participation Unit (IPU)

Indicated Annual Dividend/Distribution

Indicative Calculated Closing Price (ICCP)

Inflation

Initial Public Offering (IPO)

Inside Information

Insider

Insider Trading

Interlisted

Intermarket Surveillance Group (ISG)

International Securities Identification Number

(ISIN)

Intrinsic Value Investment

Investment Advisor

Investment Capital

Investment Counsellor

Investment Dealer

Investment Dealers Association of Canada

(IDA)

Investment Fund

Investor Relations

IPO Financing Issue

Issue Status

Issued and Outstanding Securities

Issuer Status

Jitney Order

Junior Corporation

Last Sale Price

Last Trading Day

Liabilities

Limit Order

Liquidating Order

Liquidity Listed Issuer

Listed Stock

Listing Application Long

Margin Account Market

Market Capitalization

Market Maker

Market On Close (MOC)

Market Order

Market-by-Price®

Material Change

Member

Minimum Fill Order

Minimum Guaranteed Fill (MGF) Orders

Minimum Price Fluctuation

Mixed Lot or Broken Lot

Money Market

Multijurisdictional Disclosure System (MJD)

Must-Be-Filled (MBF) Order

Mutual Fund

Naked Writer

Net Change

Net Worth

New Issue

New Issuer Listing

New Issuer Listing - Application

New Issuer Listing - Graduate.

New Issuer Listing - IPO (Initial Public Offering)

New Issuer Listing - Plan of Arrangement

New Issuer Listing - Spin-Off

New Issuer Listing - Transfer

New Listing

NEX

Non-Certificated Issues

Non-Client Order

Non-Exempt Issuer

Non-Net Order

Non-Resident Order

North American Industry Classification System (NAICS)

Odd Lot

Offer

Offset

One-Sided Market

On-Stop (0/S) Order

Ontario Securities Commission

Open Interest

Open Order

Open-End Investment Fund

Opening

Option

Option Class

Option Cycle

Option Holder

Option Series

Option Type

Option Writer

Order Number

Original Listing/Initial Listing OTC Foreign Trading

Over-The-Counter (OTC) Market Par Value

Partial Fill

Participating Organizations (POs) and Members of TSX

Participating Organizations (POs) and Members of TSX Venture Exchange

Penny Stock

Portfolio

Position Limit

Power, Pipeline & Utility Trusts

Preferred Share Premium

Pre-Opening Session

Price-Earnings (P/E) Ratio. Principal Trade

Priority

Private Placement

Private Placement Financing

Professional and Equivalent Real-Time Data Subscriptions

Profit

Prospectus

Public Float

Push-Out

Put Option

Quoted Market Value (QMV)

Rally

Real Estate Investment Trust (REIT)

Record Date

Redeemable Security

Registered Traders

Relative Position

Report

Responsible

Registered Trader

Retractable Security

Revenue

Reverse Takeover (RTO)/Backdoor Listing Rights

Risk

S&P/TSX 60 Capped Index S&P/TSX 60 Index

S&P/TSX Capped Composite Index

S&P/TSX Composite Index

S&P/TSX MidCap Index

S&P/TSX SmallCap Index

S&P/TSX Venture Composite Index

Seat

Secondary Offering Financing Securities

Securities and Exchange Commission (SEC)

Securities Commission

Securities Industry Association (SIA)

**SEDAR\***

Seed Stock

Self-Regulatory Organization

Settlement

Settlement Date

Settlement Price

Share Certificate

Short Selling

Special Terms

Special Trading

Session

Speculator

Split

Shares

Sponsor, TSX Venture Issuers Spread

Standing Committees

Stock Dividend/Distribution

Stock Index Futures

Stock List Deletion

Stock Price Index

Stock Price Index Value (SPIV)

Stock Split

Stock Symbol

Stock Symbol Extension

Street Certificate

Strike Price

Structured Products

Substitutional Listing

Supplemental Listing

Supplemental Listing Financing

Suspended Issue

Suspended Issuer

Symbol Change

Thin Market

Tick

Ticker Tape

Ticket Fee

Tier Structure Time

Time Value

Timely Disclosure Policy

TLl TL2

Toronto Stock Exchange

Total Number of Shares

Total Return Index Value (TRIV)

Trading Halt

Trading Issue

Trading Issuer

Trading Number

Trading Session

Trading Symbol

Trailing

Twelve Months Earnings Per Share (TTMEPS)

Transaction Date

Transactions

Transfer Agent

Transferable Security

TSX Industrial Category

TSX Industrial, Mines and Oil & Gas Categories (IMO)

TSX Marker for U.S. or Non-U.S. Foreign Incorporated Issuer

TSX Mines Category

TSX Oil & Gas Category

TSX Venture Exchange

Underlying Interest

Underwriting

Unlisted

Uptick

Venture Capital

Venture Company

Volatility

Volume

**VWAP**

VWAP Cross

Warrant

When-Issued Trading

World Federation of Exchanges (WFE)

Writer

XLl

Yield

**18 Meaningless Market Phrases That Sound Smart On TV**

"Don't catch a falling knife"

"You have to wait until you see the whites of the market's eyes"

"Buy on weakness"

\

"Sell on strength."

"Take a wait-and-see approach"

"The easy money has been made"

"I'm cautiously optimistic."

"It's a stock picker's market."

It's not a stock market. It's a market of stocks."

"We're constructive on the market."

"Stocks are down on 'profit taking"'

"Stocks are up on 'bargain hunting"'

"More buyers than sellers"

"There's lots of cash on the sidelines"

"We're in a bottoming process."

"Overbought"

"Oversold"

"It 's a show-me stock"

Stock Market Terms

Advanced Companies

Agent

Alberta Securities Commission (ASC)

All-or-None Order

American-Style Options

Annual Report

Anonymous Trading

Arbitrage

Ask or Offer

Ask Size

Assets

Assignment

At-the-Money

Averages and Indices

Averaging Down

Basis Point

Bear Market

Best-Efforts Underwriting

Beta

Better-Price-Limit Orders

Bid

Bid Size

Black-Scholes Model

Block Trades

Blue Chip Stocks

Board Lot

Bonds

Book

Booked Orders

Bought-Deal Underwriting

British Columbia International Commercial Arbitration Centre (BCICAC)

British Columbia Securities Commission (BCSC)

Broker or Brokerage Firm

Bull Market

Business Day

Business Trust

Buy-In

Call Option

Canadian Depository for Securities Limited (CDS)

Canadian Derivatives Clearing Corporation (CDCC)

Canadian Investor Protection Fund (CIPF)

Canadian Securities Institute (CSI)

Capital

Capital Gain or Loss

Capital Gains Distribution

Capital Pool

Companies

Capital Stock

Capital Trust

Capitalization Change

Capitalization Effective Date

Capitalization or Capital Structure

Capped Indices

Cash

Cash Dividend / Distribution Cash Settlement

Certificate

Changes in Stock List

CLl

CL2

Clearing Day

Clearing Number

Client Order

Closed-End Investment Fund

Closing Transaction

Commission

Commodities

Common Shares or Common Stock

Complete Fill

Conditional Listing Application (CLA)

Consolidated Short Position Report

Continuous Disclosure

Convertible Security

Corporation or Company

Cross

Crossing Session

Cum Dividend

Cum Rights

Cum-Dividend/Distribution Date

CUSIP

Cyclical Stock

Daily Price Limit

Day Order

Debenture

Debt Price

Debt Value

Debt Volume

Defensive Stock

Delayed Delivery Order

Delist

Delisted Issue

Delisted Issuer